# **Retrieval of Surgical Phase Transitions using Reinforcement Learning**

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### **1. Introduction**

The conventional approach to surgical workflow segmentation is to determine the surgical phase of each individual frame in a video recording of the operation, as a multi-class classification problem.

#### **TRN Mode** • $(f_{1b}, f_{2b}, f_{3b}, f_{4b})$ $(f_{1e}, f_{2e}, f_{3e}, f_{4b})$ Frame-based Model (a) Coventional Workflow analysis model (b) Proposed Model

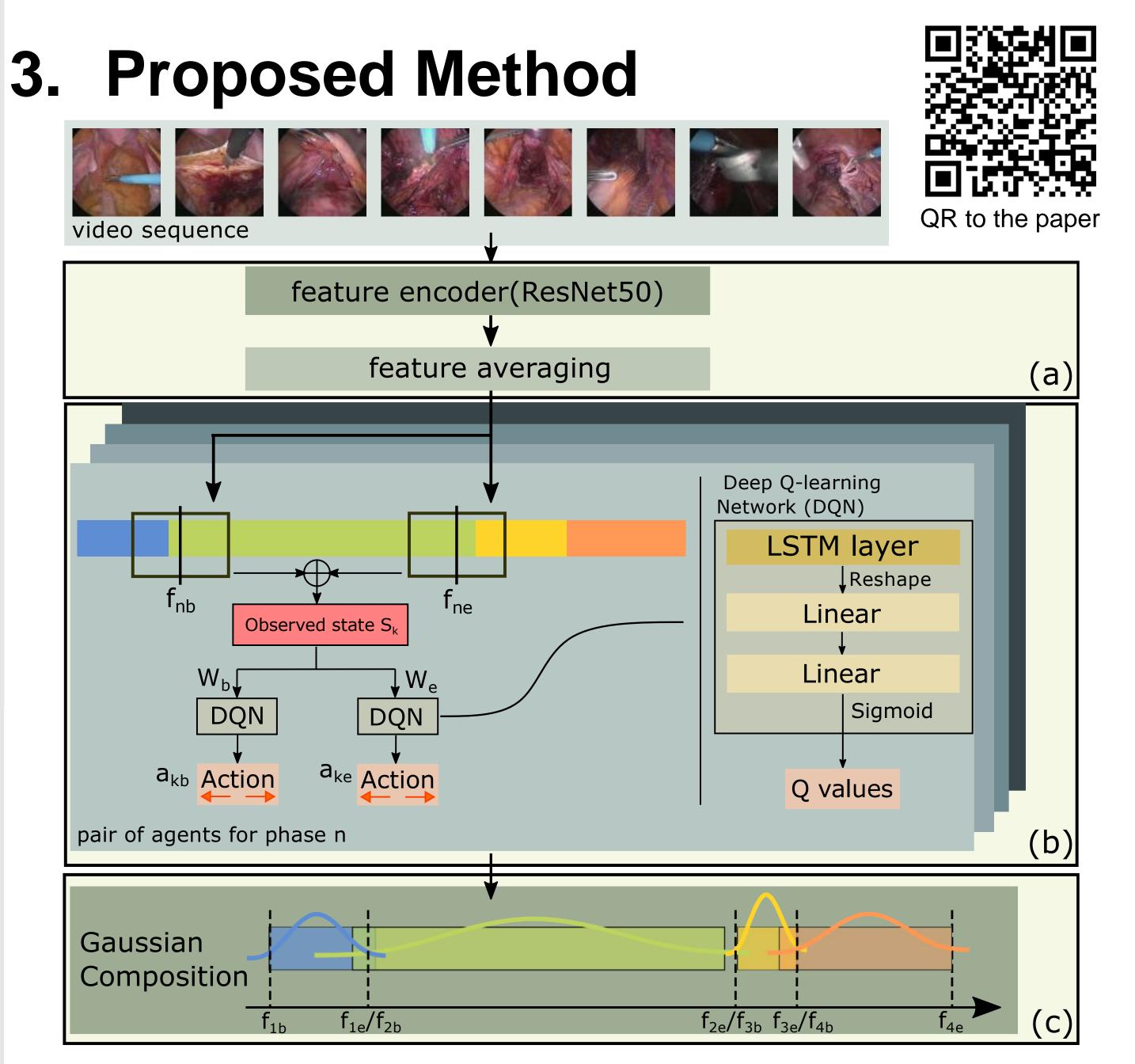
Comparison with conventional methods

### 2. Contribution

We introduce a novel reinforcement learning approach for offline workflow segmentation that finds the time of phase transitions instead of

classifying individual frames. We investigate two configurations with different search window sizes (21, 41 and 81 clips) for two datasets:

- Fixed initialization (FI): needs only a portion of video (<60% and <20% for 2 datasets), saving significant computational cost
- ResNet Modified Initialization (RMI): outperforms the state-of-the art (TeCNO[2] and Trans-SV[1]) at a comparable computational cost.



## 4. Results

### **Datasets**:

- Cholec80 [3]: 7 phases, average duration of 41 mins
- Sacrocolpopexy [4] divided into suturing/non-suturing phases as a clinical interest, average duration of 3 hours and 13 mins

Win	ndow size	Phase 1	Phase 2	Phase 3	Phase 4	Phase 5	Phase 6	Phase 7	Overall F1-score
TRI	N21 FI	0.854	0.917	0.513	0.903	0.687	0.549	0.83	0.782
TRI	N41 FI	0.828	0.943	0.636	0.922	0.558	0.694	0.85	0.808
TRI	N21 RMI	0.852	0.942	0.619	0.939	0.727	0.747	0.837	0.830
TRI	N41 RMI	0.828	0.940	0.678	0.945	0.753	0.738	0.861	0.846

Table 1: TRN ablation in the Cholec80 dataset (F1-scores). The values per-phase are computed before Gaussian Composition, while the overall F1-score is for the complete TRN method.

Dataset	Method	Accuracy	F1-Score	Event	Ward	Coverage	Computatio-
Dataset	Method	Accuracy		ratio	Event Ratio	rate(%)	-nal Cost(s)
	ResNet-50	$79.7 \pm 7.5$	0.756	0.120	0.375	full	96.6
	TeCNO	$88.3 \pm 6.5$	0.774	0.381	0.691	full	99.6
Cholec80	Trans-SVNet	$89.1 \pm 5.7$	0.800	0.316	0.566	full	99.6
	TRN21 FI	$85.3 \pm 9.6$	0.782	1	0.934	57.6	60.6
	TRN41 FI	$87.8 \pm 8.1$	0.808	1	0.956	59.1	64.9
	TRN41 RMI	$90.1 \pm 5.7$	0.846	1	0.985	full	105.5
	ResNet-50	$92.5 \pm 3.8$	0.892	0.029	0.016	full	493.7
Sacrocol-	TeCNO	$98.1 \pm 1.7$	0.976	0.136	0.438	full	493.8
	Trans-SVNet	$97.8 {\pm} 2.2$	0.971	0.536	0.813	full	493.9
-popexy	TRN21 FI	$89.8 {\pm} 6.2$	0.860	0.971	0.875	14.6	78.1
	TRN81 FI	$90.7 {\pm} 6.1$	0.875	0.941	0.860	18.3	104.0

TRN architecture with (a) averaged ResNet feature extractor, (b) multi-agent network for transition retrieval and (c) Gaussian composition operator

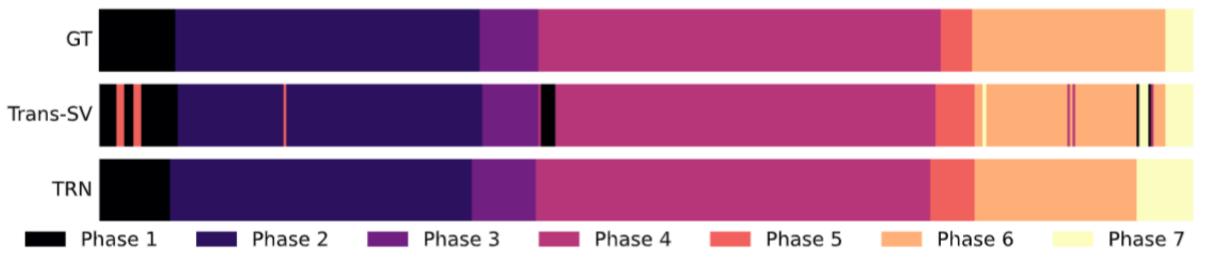
## 5. Conclusion

The FI configuration yields comparable results with low computational cost, while the RMI configuration yields best results.

Advantages:

avoiding frame-level noise in predictions and enforcing phases to be continuous blocks.

Table 2: Evaluation metric results summary of ResNet-50, our implementation of TeCNO and Trans-SV, and ablative selected TRN result on Cholec80 and Sacrocolpopexy. The computatinal cost is in average second to process a single video



(a) An example of video77 from Cholec80 processed by Trans-SV and TRN41 RMI



partial coverage (FI) reducing the computational cost

#### Limitations:

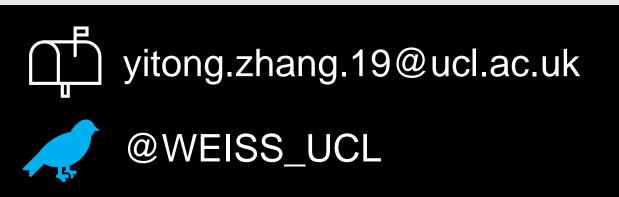
- phases with unknown number of repetitions
- not suitable for real-time operation at this moment
- Scalability issues with large number of phases
- Future work will adapt more advanced RL algorithms to overcome these limitations (e. g. actor-critic, multi-agent)



(b) An example video from Sacrocolpopexy processed by Trans-SV and TRN81 FI

### References

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Acknowledgements This research was supported by the Wellcome/EPSRC Centre for Interventional and Surgical Sciences (WEISS) [203145/Z/16/Z]; the Engineering and Physical Sciences Research Council (EPSRC) [EP/P027938/1, EP/R004080/1, EP/P012841/1]; the Royal Academy of Engineering Chair in Emerging Technologies Scheme, and Horizon 2020 FET Open (863146).



